

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	1757432
<b>Application Number:</b>	09955394
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	1548
<b>Title of Invention:</b>	Enhancing utility and diversifying model risk in a portfolio optimization framework
<b>First Named Inventor/Applicant Name:</b>	Jason S. Scott
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<b>Filer:</b>	Michael A. DeSanctis
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	02821.P004X
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<b>Application Type:</b>	Utility

### Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$90
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Deposit Account	
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)				
1		Amendment_Response.pdf	50320	yes	11				
<b>Multipart Description/PDF files in .zip description</b>									
Document Description		Start		End					
Amendment - After Non-Final Rejection		1		1					
Claims		2		9					
Applicant Arguments/Remarks Made in an Amendment		10		11					
<b>Warnings:</b>									
<b>Information:</b>									
2	Terminal Disclaimer Filed	Terminal_Disclaimer.pdf	197166	no	1				
<b>Warnings:</b>									
<b>Information:</b>									
3	Assignee showing of ownership per 37 CFR 3.73(b).	2nd_Submission_Statement.pdf	217026	no	1				
<b>Warnings:</b>									
<b>Information:</b>									
4	Power of Attorney	POA.pdf	424947	no	1				
<b>Warnings:</b>									
<b>Information:</b>									
5	Fee Worksheet (PTO-06)	fee-info.pdf	8322	no	2				
<b>Warnings:</b>									
<b>Information:</b>									
<b>Total Files Size (in bytes):</b>					897781				

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.